

April 28, 2014

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Office of Naval Research
Code 34 — Warfighter Performance
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Subject:

Quarterly Performance/Technical Report of the National Marrow Donor

Program[®]

Reference:

Grant Award #N00014-13-1-0039 between the Office of Naval Research and the

National Marrow Donor Program

Dear LCDR. Steele:

Enclosed is subject document which provides the performance activity for each statement of work task item of the above reference for the period of January 1, 2014 to March 31, 2014.

Should you have any questions as to the scientific content of the tasks and the performance activity of this progress report, you may contact our Chief Medical Officer – Dennis L Confer, MD directly at 612-362-3425.

With this submittal of the quarterly progress report, the National Marrow Donor Program has satisfied the reporting requirements of the above reference for quarterly documentation. Other such quarterly documentation has been previously submitted under separate cover.

Please direct any questions pertaining to the cooperative agreement to my attention at 612-362-3403 or at cabler@nmdp.org.

Sincerely,

Carla Abler-Erickson, MA

Contracts Manager

Enclosure: Quarterly Report with SF298

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DEVELOPMENT OF MEDICAL TECHNOLOGY FOR CONTINGENCY RESPONSE TO MARROW TOXIC AGENTS QUARTERLY PERFORMANCE / TECHNICAL REPORT FOR JANUARY 01, 2014 to MARCH 31, 2014 PERIOD 5

Office of Naval Research

And

The National Marrow Donor Program 3001 Broadway Street N.E.
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IIA. Contingency Preparedness – Objective 1: Recovery of casualties with significant myelosuppression following radiation or chemical exposure is optimal when care plans are designed and implemented by transplant physicians

IIA.1 Task 1: Maintain the Radiation Injury Treatment Network (RITN) to prepare for the care of patients resulting from a hematopoietic toxic event.

Period 5 Activity:

• Continued to coordinate with the Association of State and Territorial Health Officials on the project to determine the distribution process for the last mile (to get the medication into the hands of the appropriate people to administer to the correct responders or casualties) of the medical countermeasure G-CSF (Neupogen) following a mass casualty radiological incident.

IIA.1 Task 2: GCSF in Radiation Exposure – This task is closed.

IIA.1 Task 3: Patient Assessment Guidelines and System Enhancements – This task is closed

IIA 1 Task 4: National Data Collection Model – This task is closed.

IIA. Contingency Preparedness – Objective 2: Coordination of the care of casualties who will require hematopoietic support will be essential in a contingency situation.

IIA.2 Task 1: Ensure NMDP maintains effective plans to continue critical facility and staff-related functions as a result of operations interruption events.

Period 5 Activity:

• Purchasing small equipment (high quality power strips) to facilitate Operations staff's ability to continue to work during a power outage by increasing the number of outlets available with generator power.

IIA.2 Task 2: Sibling Typing Standard Operating Procedures - This task is closed

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IIA. Contingency Preparedness – Objective 3: NMDP's critical information technology infrastructure must remain operational during contingency situations that directly affect the Coordinating Center.

IIA.3 Task 1: I.S. Disaster Recovery - This task is closed.

IIA.3 Task 2: Critical Facility and Staff Related Functions - This task is closed.

IIB. Rapid Identification of Matched Donors - Objective 1: Increasing the resolution and quality of the HLA testing of volunteers on the registry will speed donor selection.

IIB.1 Task 1: Expand the genetic diversity of the Registry through continued addition of adult donors and cord blood units, utilizing high volume HLA typing methodologies.

Period 5 Activity:

No activity this period.

IIB.1 Task 2: Evaluate HLA-DRB1 High Res typing – This task is closed.

IIB.1 Task 3: Evaluate HLA-C Typing of Donors - This task is closed

IIB.1 Task 4: Evaluate the suitability of buccal swabs as a method to collect DNA samples to HLA type casualties and potential related donors in contingency situations, and to obtain research samples.

Period 5 Activity:

Frozen Buccal Swab Study:

- The study will compare swabs stored at room temperature and -30°C, for quality of DNA, quantity of DNA, and high resolution HLA characterization, at selected time points over multiple years.
- Sample collection with assigned storage condition from volunteer QC donors is almost complete. Upon finalizing a service agreement with a lab that will perform NGS (Next Generation Sequencing) typing methodology, the final collection for the freshest possible baseline samples will commence.

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IIB 1 Task 5: Evaluate the factors of donor utilization and speed of search process after strategic upgrading of selected adult volunteer donors.

Period 5 Activity:

• No activity this period.

IIB 1 Task 6: Maintain a comprehensive quality control program.

Period 5 Activity:

Genomic DNA Swabs:

- Work continued on the study to assess the feasibility of using purified genomic DNA as an alternative QC sample type, in order to decrease the cost and increase allelic diversity of the QC program.
 - o Following successful typing results from phase II of the pilot study, the purified DNA samples created for phase III of the study were incorporated into the regular recruitment and customized shipments to confirm all the contract HLA laboratories could accurately type blind purified QC samples from the 2 DNA extraction labs identified as alternative vendors. All labs successfully typed QC samples from both extraction labs without repeats.
 - The results of the technical analysis revealed the laboratory originally selected to perform the DNA extractions on the stored frozen whole blood during phase I of the pilot study had superior DNA yields, as well as the most competitive price.
 - The final phase of this pilot study was to select 5 distinct volunteer QC donors and obtain fresh blood, to assess whether DNA yield is impacted by sample age or freeze/thaw cycles.
 - Five ml of fresh blood, fresh frozen blood, and existing frozen blood inventory were sent to the DNA extraction lab for quantitative and qualitative DNA analysis. Based on a small sample size of n=5, no significant difference in yield between the 3 blood sample types for any donor was observed.
 - Based on the results of the study, existing volunteer QC donors with stored repository blood aliquots will be selected for DNA extraction for inclusion in the NMDP buccal swab QC program as a substitution to B-LCL swabs.

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IIB. Rapid Identification of Matched Donors – Objective 2: Primary DNA typing data can be used within the registry to improve the quality and resolution of volunteer donor HLA assignments.

IIB 2 Task 1: Ongoing collection of primary data for validation and storage in the Registry database.

Period 5 Activity:

No activity this period.

IIB 2 Task 2: Validation of Logic of Primary Data - This task is closed.

IIB 2 Task 3: Reinterpretation of Primary Data – This Task has been merged with Task IIB2.4.

IIB 2 Task 4: Interpretation of the primary data into genotype lists and integration into matching algorithm to optimize placement of donors onto patient searches.

Period 5 Activity:

• No activity this period.

IIB. Rapid Identification of Matched Donors – Objective 3: Registry data on HLA allele and haplotype frequencies and on the nuances of HLA typing can be used to design computer algorithms to predict the best matched donor.

IIB.3 Task 1: Incorporate HLA allele and haplotype frequencies into matching algorithm.

Period 5 Activity:

No activity this period.

IIB 3 Task 2: Continue to enhance the allele and haplotype frequency data to include additional loci and increased resolution for ethnic groups with input from consultants with expertise in population genetics.

Period 5 Activity:

No activity this period.

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IIB 3 Task 3: Cord Blood and Adult Donor Matching Benchmarks and Registry Modeling.

Period 5 Activity:

• No activity this period.

IIB 3 Task 4: Couple haplotype prediction methodology with donor demographic data to target recruitment to areas populated by individuals with underrepresented HLA phenotypes.

Period 5 Activity:

• No activity this period.

IIB 3 Task 5: Develop a bioinformatics web site for frequency information.

Period 5 Activity:

• No activity this period.

IIB 3 Task 6: Use NMDP's expert Search Strategy Advisors as resources to further improve the matching algorithm and donor/cord blood identification software applications with the goal to maximize the ability of the software to identify the best donors/cords for each patient.

Period 5 Activity:

No activity this period.

IIB 3 Task 7: Population Genetics – This task was merged with Task IIB3.2

IIB 3 Task 8: Haplotype Matching – This task was merged with Task IIB3.2

IIB 3 Task 9: Global Haplotype/Benchmark – This task was merged with Task IIB3.3

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IIB. Rapid Identification of Matched Donors – Objective 4: Reducing the time and effort required to identify closely matched donors for patients in urgent need of HSC transplants will improve access to transplantation and patient survival in the context of a contingency response and routine patient care.

IIB.4 Task 1: Expand Network Communications – This task is closed.

IIB.4 Task 2: Conduct a study of random patient search simulations to test the efficacy of centralized contingency management.

Period 5 Activity:

- NMDP provided support for donor/cord blood unit identification, selection and collection for the NIH intramural unrelated donor transplant program. Activity in the last quarter was as follows:
 - o 1 PBSC and 1 Therapeutic T cell collection
- CIBMTR provided support for the rapid identification of potential donors for newly diagnosed AML patients under the following clinical trial protocol:
 - o S1203: A Randomized Phase III Study of Standard Cytarabine plus Daunorubicin (7+3) Therapy or Idarubicin with High Dose Cytarabine (IA) versus IA with Vorinostat (IA+V) in Younger Patients with Previously Untreated Acute Myeloid Leukemia (AML)
 - o CIBMTR provided study-specific sample collection kits for patients, processed samples, arranged HLA typing, and generated preliminary search strategy reports to assist in the identification of donors and/or CBU through the NMDP.
 - o It is anticipated that 750 patients will be accrued in less than 5 years with 40% needing HLA testing and search strategy results. The trial opened in April 2013. Activity during the current quarter:
 - 73 patients enrolled in the study and 74 kits have been sent to patients (includes one replacement kit)
 - 73 kits were collected and returned to the repository
 - 20 patients were considered high-risk or unknown risk
 - 22 patients were HLA typed and 18 had a preliminary search completed

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IIB.4 Task 3: Benchmarking Analysis – This task is closed

IIB.4 Task 4: Expand Capabilities of Collection and Apheresis Centers - This task is closed.

IIC. Immunogenetic Studies – Objective 1: HLA mismatches may differ in their impact on transplant outcome, therefore, it is important to identify and quantify the influence of specific HLA mismatches. In contingency situations it will not be possible to delay transplant until a perfectly matched donor can be found.

IIC.1 Task 1: Continue to evaluate HLA disparity and impact on HSC transplantation by adding selected pairs to the Donor/Recipient Pair project utilizing sample selection criteria that optimize the new data generated by the typing project.

Period 5 Activity:

• No activity this period.

IIC. Immunogenetic Studies – Objective 2: Even when patient and donor are HLA matched, GVHD occurs so other loci may play a role.

IIC.2 Task 1: Continue to develop typing protocols for non-HLA immunogenetic loci, development of a lab network, enhancement of database to capture non-HLA data and continue analyses to evaluate genetic diversity in the transplant population.

Period 5 Activity:

No activity this period.

IIC 2 Task 2: Related Pairs Research Repository - This task is closed.

IIC 2 Task 3: CIBMTR Integration - This task is closed.

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IID. Clinical Research in Transplantation – Objective 1: Clinical research in transplantation improves transplant outcomes and supports preparedness for a contingency response.

IID.1 Task 1: Conduct observational research and interventional clinical trials.

Period 5 Activity:

Cord Blood Research Subcommittee

Work continued on a study to assess CBU characteristics (viability, TNC, CFU and CD34) pre-freeze and post thaw.

- The data was submitted by the study cord blood banks.
- Data analysis was initiated and will be completed during the next quarter.

In the NMDP/Eurocord NIMA match case study it was shown that NIMA matches are associated with more common HLA types and therefore more common haplotypes. More common haplotypes may lead to better allele level matching and matching at HLA-C. Work was initiated and continued on a NIMA assessment of high resolution match grades at HLA-A, B, C, and DRB1 between transplant recipients and the cord blood unit to determine whether the NIMA phenomena may be a consequence of better allele level matching in the NIMA matched group.

• Analysis of the data was initiated and will be completed during the next quarter.

IID.1 Task 2: Research with NMDP Donors - This task was merged with IID1.1.

IID.1 Task 3:

Expand support for immunobiology research, statistical genetics and clinical research studies under CIBMTR Immunobiology Working Committee.

Period 5 Activity:

The CIBMTR IBWC met monthly during the quarter to discuss progress on ongoing research studies. and held

• The annual IB working committee meeting was held during the BMT Tandem Meetings. The group discussed study progress and priorities and accepted seven new proposals for initiation in July 2014:

- The prognostic impact of somatic mutations and levels of CXC chemokine ligands on post hematopoietic cell transplantation (HCT) outcomes in patients with myelodysplastic syndromes (MDS). Pls: Wael Saber, Coleman Lindsley, Benjamin Ebert
- O Donor-Specific anti HLA antibodies, Allele and Antigen level HLA mismatches in the outcomes of Transplantation of Non-Malignant Diseases with Unrelated Donors. Pls: Marcelo Fernandez-Vina and Ann Woolfrey
- O Structural/Functional Models of HLA for Data Mining of Permissive Mismatching in Allogeneic Hematopoietic Stem Cell Transplantation. PI: Loren Gragert
- Indirectly recognizable HLA epitopes (PIRCHES): a retrospective validation study on the role of indirect recognition of mismatched HLA in hematopoietic stem-cell transplantation outcome. PI: Eric Spierings
- A Retrospective Assessment of Outcomes of Follicular Lymphoma Patients who have Undergone Allogeneic Stem Cell Transplant Based on Human Leukocyte Antigen (HLA) Type. PIs: Basem William, Marcos de Lima, Marcelo Fernandez-Vina and Brian Hill
- Assessing the similarity of the T cell receptor repertoire in allogeneic hematopoietic stem cell recipients with the same single human leukocyte mismatches. PI: Everett Meyer
- o mtDNA haplotypes and unrelated donor transplant outcomes. PIs: Michael Verneris and Julie Ross
- Three abstracts were presented at the BMT Tandem Meetings:
 - O Joseph Pidala, et al., *HLA-mismatch is associated with worse outcomes after myeloablative conditioning and unrelated donor hematopoietic cell transplantation: A CIBMTR analysis.* BMT Tandem 2014 annual meeting, oral presentation and received a Best Abstracts Award.
 - o Shahinaz Gadalla, et al., Donor telomere length predicts recipient survival after allogeneic hematopoietic cell transplantation in patients with bone marrow failure syndromes. BMT Tandem 2014 annual meeting, oral presentation.
 - Hideki Nakasone, et al., Sensitization to HY-antigen in female donors was not associated with the post-transplant HY-IgG development nor clinical outcomes in sex-mismatched transplantation. BMT Tandem 2014 annual meeting, oral presentation.
- Six manuscripts were accepted or published:

- o Katharina Fleischhauer, et al., Risk-associations between HLA-DPB1 T cell epitope matching and outcome of unrelated hematopoietic cell transplantation are independent from HLA-DPA1. Accepted in BMT
- o Marcelo Fernandez-Vina, et al., *Identification of a permissible HLA mismatch in hematopoietic stem cell transplantation*. Published in Blood.
- Alan Howard, et al., Evaluation of peripheral blood stem cell quality in products transported by traditional courier or commercial overnight shipping services. Published in Transfusion.
- Sengsayadeth S, et al., Cytotoxic T-Lymphocyte Antigen-4 (CTLA-4) Single Nucleotide Polymorphisms Are Not Associated with Outcomes after Unrelated Donor Transplant: A Center for International Blood and Marrow Transplant Research Analysis. Published in BBMT.
- o Gleason MK, et al., CD16xCD33 bispecific killer cell engager (BiKE) activates NK cells from MDS patients against primary MDS and MDSC CD33+ targets. Published in Blood.
- Cooley S, et al., Donor Killer Cell Ig-like Receptor B Haplotypes, Recipient HLA-C1, and HLA-C Mismatch Enhance the Clinical Benefit of Unrelated Transplantation for Acute Myelogenous Leukemia. Published in J Immunol.

National Marrow Donor Program®

QUARTER PROGRESS REPORT

Development of Medical Technology for Contingency Response to Marrow Toxic Agents January 01, 2014 through March 31, 2014

ACRONYM LIST

| AABB | American Association of Blood Banks | HML | Histoimmunogenetics Mark-up Language |
|---------|---|--------|--|
| AFA | African American | HR | High Resolution |
| AGNIS | A Growable Network Information System | HRSA | Health Resources and Services Administration |
| ABD | Antigen Binding Domain | HSC | Hematopoietic Stem Cell |
| AML | Acute Myelogenous Leukemia | IBWC | Immunobiology Working Committee |
| API | Asian Pacific Islander | ICRHER | International Consortium for Research on Health Effects of Radiation |
| AQP | Ancestry Questionnaire Project | IDM | Infectious Disease Markers |
| ARS | Acute Radiation Syndrome (also known as Acute Radiation Sickness) | IHWG | International Histocompatibility Working Group |
| ASBMT | American Society for Blood and Marrow Transplantation | IPR | Immunobiology Project Results |
| ASHI | American Society for Histocompatibility and Immunogenetics | IND | Investigational New Drug |
| ASTHO | Association of State and Territorial Health Officials | IS | Information Services |
| B-LCLs | B-Lymphoblastoid Cell Lines | IT | Information Technology |
| BARDA | Biomedical Advanced Research and Development Authority | IRB | Institutional Review Board |
| BBMT | Biology of Blood and Marrow Transplant | ЈСАНО | Joint Commission on Accreditation of Healthcare Organizations |
| BCP | Business Continuity Plan | KIR | Killer Immunoglobulin-like Receptor |
| BCPeX | Business Continuity Plan Exercise | MDACC | MD Anderson Cancer Center |
| BMCC | Bone Marrow Coordinating Center | MDS | Myelodysplastic Syndrome |
| BMDW | Bone Marrow Donors Worldwide | MHC | Major Histocompatibility Complex |
| BMT | Bone Marrow Transplantation | MICA | MHC Class I-Like Molecule, Chain A |
| BMT CTN | Blood and Marrow Transplant - Clinical Trials Network | MICB | MHC Class I-Like Molecule, Chain B |
| BODI | Business Objects Data Integrator | MKE | Milwaukee |
| BRT | Basic Radiation Training | MRD | Minimal Residual Disease |

| C&A | Certification and Accreditation | MSKCC | Memorial Sloan-Kettering Cancer Center |
|---------|--|---------|--|
| CAU | Caucasian | MSP | Minneapolis |
| CBMTG | Canadian Blood and Marrow Transplant Group | MUD | Matched Unrelated Donor |
| CBB | Cord Blood Bank | NAC | Nuclear Accident Committee |
| CBC | Congressional Black Caucus | NACCHO | National Association of County & City Health Officials |
| CBS | Canadian Blood Service | NCBI | National Center for Biotechnology Information |
| CBU | Cord Blood Unit | NCBM | National Conference of Black Mayors |
| CDA | Clinical Document Architecture | NARR | National Alliance for Radiation Readiness |
| CFU | Colony Forming Unit | NCI | National Cancer Institute |
| CHORI | Children's Hospital of Oakland Research Institute | NDMS | National Disaster Medical System |
| CHTC | Certified Hematopoeitic Transplant Coordinator | NEMO | N-locus Expectation-Maximization using Oligonucleotide typing data |
| CIBMTR® | Center for International Blood & Marrow Transplant Research | NGS | Next Generation Sequencing |
| CIT | CIBMTR Information Technology | NHLBI | National Heart Lung and Blood Institute |
| CLIA | Clinical Laboratory Improvement Amendment | NIH | National Institutes of Health |
| CMCR | Centers for Medical Countermeasures Against Radiation | NIMA | Non-Inherited Maternal Antigen |
| CME | Continuing Medical Education | NIMS | National Incident Management System |
| CMF | Community Matching Funds | NK | Natural Killer |
| CMV | Cytomegalovirus | NLE | National Level Exercise |
| CNV | Copy Number Variation | NMDP® | National Marrow Donor Program |
| COG | Children's Oncology Group | NRP | National Response Plan |
| CREG | Cross Reactive Groups | NST | Non-myeloablative Allogeneic Stem Cell Transplantation |
| CSS | Center Support Services | OCR/ICR | Optical Character Recognition/Intelligent Character Recognition |
| CT | Confirmatory Testing | OIT | Office of Information Technology |
| CTA | Clinical Trial Application | OMB | Office of Management and Budget |

| DC | Donor Center | ONR | Office of Naval Research |
|-----------|---|---------|---|
| DHHS-ASPR | Department of Health and Human Service – | P2P | Peer-to-Peer |
| | Assistant Secretary Preparedness and Response | | |
| DIY | Do it yourself | PBMC | Peripheral Blood Mononuclear Cells |
| DKMS | Deutsche Knochenmarkspenderdatei | PBSC | Peripheral Blood Stem Cell |
| DMSO | Dimethylsulphoxide | PCR | Polymerase Chain Reaction |
| DoD | Department of Defense | PSA | Public Service Announcement |
| DNA | Deoxyribonucleic Acid | QC | Quality control |
| DR | Disaster Recovery | RCC | Renal Cell Carcinoma |
| D/R | Donor/Recipient | RCI BMT | Resource for Clinical Investigations in Blood and |
| | | | Marrow Transplantation |
| DSTU | Draft Standard for Trial Use | REAC/TS | Radiation Emergency Assistance Center/Training Site |
| EBMT | European Group for Blood and Marrow | REST | Representational State Transfer |
| | Transplantation | | |
| ED | Emergency Department | RFP | Request for Proposal |
| EDC | Electronic Data Capture | RFQ | Request for Quotation |
| EFI | European Federation of Immunogenetics | RG | Recruitment Group |
| EM | Expectation Maximization | RITN | Radiation Injury Treatment Network |
| EMDIS | European Marrow Donor Information System | SBT | Sequence Based Typing |
| ENS | Emergency Notification System | SCTOD | Stem Cell Therapeutics Outcome Database |
| ERSI | Environment Remote Sensing Institute | SG | Sample Group |
| FACT | Federation for the Accreditation of Cellular | SHF | Synthetic Haplotype Frequency |
| | Therapy | | |
| FBI | Federal Bureau of Investigation | SLCBB | St. Louis Cord Blood Bank |
| FDA | Food and Drug Administration | SLW | STAR Link® Web |
| FDR | Fund Drive Request | SSA | Search Strategy Advice |
| FLOCK | Flow Cytometry Analysis Component | SSO | Sequence Specific Oligonucleotides |
| FP | Filter Paper | SSP | Sequence Specific Primers |
| Fst | Fixation Index | SSOP | Sequence Specific Oligonucleotide Probes |
| GETS | Government Emergency Telecommunications | STAR® | Search, Tracking and Registry |
| | Service | | |

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QUARTER PROGRESS REPORT

| GCSF | Granulocyte-Colony Stimulating Factor (also known as filgrastim) | SW | Buccal Swab |
|-------|--|------|--|
| GIS | Geographic Information System | TC | Transplant Center |
| GS | General Services | TED | Transplant Essential Data |
| GTR | Genetic Testing Registry | TNC | Total Nucleated Cell |
| GvHD | Graft vs Host Disease | TP | Time Point |
| HCS® | HealthCare Standard | TSA | Transportation Security Agency |
| HCT | Hematopoietic Cell Transplantation | UCSF | University of California – San Francisco |
| HEPP | Hospital Emergency Preparedness Program | UI | User Interface |
| HHQ | Health History Questionnaire | UML | Unified Modeling Language |
| HHS | Health and Human Services | URD | Unrelated Donor |
| HIPAA | Health Insurance Portability and Accountability Act | WGA | Whole Genome Amplification |
| HIS | Hispanic | WMDA | World Marrow Donor Association |
| HLA | Human Leukocyte Antigen | WU | Work-up |